

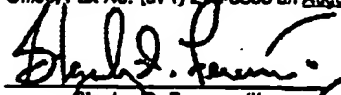
Atty. Docket No. FR91999007US1  
(590.106)

In re Application of : Blanchard et al.  
Serial No. : 09/863,920 Examiner : Felton, D.  
Filed : May 23, 2001 Art Unit : 3624  
For : SYSTEM AND METHOD FOR STOCK OPTIONS  
MARKET MANAGEMENT

August 5, 2005

### RESPONSE TO RESTRICTION REQUIREMENT

I hereby certify that this correspondence and any documents referred to as enclosed therewith are being facsimile transmitted to the United States Patent and Trademark Office, Fax No. (871) 273-8300 on August 5, 2005.

  
Stanley D. Ference III  
Reg. No. 33,879

August 5, 2005  
Date of Signature

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

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Sir:

This is responsive to the Office Action dated May 5, 2005, for the above-identified application. In the prosecution of the above-identified application, a Restriction Requirement, dated October 2004, and a Response to the Restriction Requirement, dated January 2005, have already been entered. Thus, the outstanding Restriction Requirement, identical to the one dated October 2004 and in response to Applicant's Response dated January 2005, seems to have been sent in error. The remarks made by Applicant in the Response dated January 2005 are equally applicable to the outstanding Restriction Requirement, and are set forth below.

- 1 -

08/12/2005 SMOKELAN 00000012 090468 09863920  
01 FC:1252

05/23/01

STANT COMMISSIONER OF PATENTS  
PATENT APPLICATION  
Washington, D.C. 20231

CASE DC LT NO. FR919990071US1  
Date: May 23, 2001

Transmitted herewith for filing is the Patent Application/of:  
ASSIGNEE NAME: International Business Machines Corporation  
ASSIGNEE RESIDENCE: Armonk, New York

Inventors: Jean-Louis Blanchard, Stephane Lorin, Hammou Messatfa and  
Christelle Pavillon

For: SYSTEM AND METHOD FOR STOCK OPTIONS MARKET MANAGEMENT

Enclosed are:

☒ 6(six) Sheets of Formal Drawings.

☐ An assignment of the invention to International Business Machines Corporation, Armonk, New York 10504.

☒ A certified copy of a European Patent Application Serial No: 00480047.0

☒ Unsigned Declaration and Power of Attorney is attached to the application.

☐ Associate Power of Attorney.

☐ Information Disclosure Statement with form PTO-1449 with references attached.

The filing fee has been calculated as shown below:

	(Col. 1)	(Col. 2)	OTHER THAN A SMALL ENTITY	
FOR:	NO. FILED	NO. EXTRA	RATE	FEE
BASIC FEE				\$ 710.00
TOTAL CLAIMS	14 - 20 =	0	0 \$ 18 =	\$ 000.00
INDEP CLAIMS	3 - 3 =	0	0 \$ 78 =	\$ 00.00
MULTIPLE DEPENDENT CLAIM PRESENTED			+ \$ 260 =	\$ 0.00
			TOTAL	\$ 710.00

If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

☒ Please charge my Deposit Account No. 09-0468 in the amount of \$ 710.00.

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0468. A duplicate copy of this sheet is enclosed.

☒ Any additional filing fees required under 37 CFR 1.16.

☒ Any patent application processing fees under 35 CFR 1.17.

Respectfully submitted,

By Gail H. Zarick  
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